Decision minutes of the incident classification

Check the alternatives for a critical service and additional categories of materiality with your own evidence.

Legal entity, function and scope

Determine the date, performance and role of responsibility with the own verification identifier.

Check classification

Support the Art. 6 alternatives and each relevant threshold criterion individually.

What facts and evidence do the decision bear?

Select the information relevant to your criterion. Note version, location and reference to your own process for each document.

Own decision and open order

A comprehensible classification shows open criteria, special access and the branch for subsequent reassessment.

Transfer the sample case to the own holding

A supervised financial service is affected. Downtime and affected states are collected independently of each other.

Example check	Documented status
Scale Art. 6 and the threshold mechanism of Art. 8 are separately justified.	
Observation Duration and downtime were wrongly counted as two criteria.	
Reasoned follow-up	Count only once per threshold category. Check whether additional facts change the classification.

Keeping your own deviation and expected effect

What acceptance changes your decision? Determine which own sample and what proof clarify the open question.

Connect test documents, findings and acceptance

Link each sample with a unique identifier. In case of a different activity, replace the criterion by the test position relevant to your job.

Decide materiality based on the logic of linking

Art. 18 para. 1 and 3 · Check a case with two fulfilled thresholds and a case with only one threshold and without any relevant special circumstances.

Observation and evidence

Specify the observed result, location and status.

Check customer and transaction thresholds by appropriate denominator

2024/1772 · Art. 1 and 9 para. 1 · Check the limits of exactly 10 percent and more than 10 percent as well as the classification of a relevant customer.

Observation and evidence

Specify the observed result, location and status.

Correction, follow-up date and release

Determine the finding, the correction responsible, the post-test and the decision with date.