DORA Incidental file and notification decision

Perform the evidence, classification and handover to the reporting officer in an incident file.

Legal entity, function and scope

Determine the date, performance and role of responsibility with the own verification identifier.

Check classification

Support the Art. 6 alternatives and each relevant threshold criterion individually.

What facts and evidence do the decision bear?

Select the information relevant to your criterion. Note version, location and reference to your own process for each document.

Own decision and open order

The classification can be calculated at each point of knowledge and repeated in the decision-making protocol.

Transfer the sample case to the own holding

A supervised financial service is affected. The downtime is 142 minutes, the geographical reference is initially open.

Example check	Documented status
Scale The criterion for critical services, the respective thresholds and open facts are documented separately.	
Observation A second cross-border affected state is initially only suspected.	
Reasoned follow-up	Keep the review version, verify the new information and check the trigger for a new classification.

Keeping your own deviation and expected effect

What acceptance changes your decision? Determine which own sample and what proof clarify the open question.

Connect test documents, findings and acceptance

Link each sample with a unique identifier. In case of a different activity, replace the criterion by the test position relevant to your job.

Matching the Incidents Directive against Proceedings and Actual File

2024/1774 · Art. 22 · Reconstruct the treatment of an incident including process references and handovers.

Observation and evidence

Specify the observed result, location and status.

Search for the same cause monthly for repeated events

2024/1772 · Art. 8 para. 2 · Test a similar event outside the six-month window and one with different cause.

Observation and evidence

Specify the observed result, location and status.

Correction, follow-up date and release

Determine the finding, the correction responsible, the post-test and the decision with date.