Obligations and evidence per legal entity and service

Fictional case data and worked reasoning

KI22 · The technical sample of the identity service is documented. Bank sample is missing. In AI supervision there are three out of four interventions.

Test point	Purpose or comparison	Observation	Decision
DORA Banker's Restart		Open Bank checks	
NIS2 Own Service Sample Switch Watchs			**Acceptable**
AI supervision	**Four procedures required**	**Three confirmed post-test**	

Expected result

Separate clearance per obligation, which makes visible documented common observations and open responsibilities.

Confirm legal entity, service and applicable obligation

Assign reusable evidence to the appropriate extent

Decide on the test result and responsibility per track

Counter-test and next decision

Secure the passed bank-specific follow-up test and leave the fourth AI intervention as failed. Then mark the NIS2 assignment of the IT company as unexplained. Both decisions remain visible as open or blocked traces.

Obligations and evidence per legal entity and service

For your observation, specify a findable document.

Perform roles, application decisions, supporting documents and each individual sample for bank, IT service and AI use.

Verification identification, legal entity and date of processing and responsible body

Legal entities, their own role and service

Validity and delimitation according to confirmed facts

Joint evidence and its actual statement

Own test criterion and scope of cases

Findings and necessary follow-up test per obligation

Obligations and evidence per legal entity and service

Refer remedial and post-test to the original test order.

Findings and supporting evidence

Technical assignment, roll delimitation and repetition

Tested follow-up test with date, observation and version documentation

Decision, location and resubmission

Release by and on the open order and date